JC10 Rec'd PCT/PTO 09

Approved for use through 3/31/2007, OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES SOF-01-US DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/US2003/039593 11 DEC 2003 11 DEC 2002 TITLE OF INVENTION System and Method for Speech Processing Using Independent Component Analysis Under Stability Restraints APPLICANT(S) FOR DO/EO/US VISSER, Eric; and LEE, Te-Won Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. X The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). has been previously submitted under 35 U.S.C. 154(d)(4). ₇ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. X An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. X An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. L A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. 16 l A power of attorney and/or change of address letter. 17. L A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

A second copy of the published International Application under 35 U.S.C. 154(d)(4).

18. l

19. 🖵

Other items or information:

Page 1 of 2

JC20 Rec'd PCT/PTO 09 JUN 2005

PTO-1390 (Rev. 02-2005)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICAT	TO / Swi	SEE TO CER L) INTERNATIONAL AF	PLICATION NO.	ATTORNEY'S DO	CKET NUMBER	
	LU/J.)	PCT/US2003/03	39593	SOF-01-US		
The foll	owing fees have t	een submitted		-	CALCULATIONS	PTO USE ONLY	
21. X Basic national fee\$300				\$300	\$ 300		
If International p PCT Article 33	nination fee reliminary examin 3(1)-(4) ns	\$ 200					
Search fee (37 C Internatio International Sea	rch fee FR 1.445(a)(2)) h nal Searching Au irch Report prepai	s 100					
	TOTAL OF 21, 2	\$ 600					
sequence lis	e for specification sting or computer 250 for each addi						
Total Sheets	Extra Sheets		h additional 50 or fraction up to a whole number)	RATE			
- 100 =	/50 =	,		× \$250	\$		
	30.00 for furnishing date (37 CFR 1.49		aration later than 30 months f	om the earliest	\$		
CLAIMS	NUME	BER FILED	NUMBER EXTRA	RATE	\$	•	
Total claims	5	4 - 20 =	34	× \$50	\$ 1700		
Independent clai	ms 3	- 3 =	0	× \$200	\$		
MULTIPLE DEPI	ENDENT CLAIM(S) (if applicable)		+ \$360	\$		
			TOTAL OF ABOVE	CALCULATIONS =	\$ 2300		
X Applicant cla	aims small entity s						
		\$ 1150					
	of \$130.00 for furni date (37 CFR 1.49	\$					
		\$ 1150					
	the enclosed ass e cover sheet (37	\$ 40					
			TOTAL F	EES ENCLOSED =	\$ 1190.00		
······································					Amount to be refunded:	\$	
					Amount to be charged:	\$	
a. A chec	ck in the amount o	f \$	to cover the abo	ove fees is enclosed.			
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.							
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No A duplicate copy of this sheet is enclosed.							
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTQ-2088.							
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revise 137 CFR 1.497(a) or (b)) must be filed and granted to restore the International Application to pending status.							
SEND ALL COR	RESPONDENCE						
William J Kol	egraff						
3119 Turnbei Jamul, CA 9				William J NAME	Kolegraff		
Janiui, CA 9	1333						
Fax: (619) 401-8008 41,125 REGISTRAT					ON NUMBER		

JC20 Rec'd PCT/PTO 09 JUN 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Erik Visser, et al.)
) Art Unit:
Serial No.:	not assigned)
) Examiner
Filed:)
)
Title:	System and Method for Speech)
	Processing Using)
	Independent Component)
	Analysis Under Stability)
	Restraints)
)

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

NATIONAL APPLICATION UNDER 35 USC 371

Dear Sir:

Applicants respectfully submit the enclosed National Phase Application pursuant to 35 U.S.C. §371. The international application was filed on 11 December 2003, and claims priority to US patent application no. 60/432,691, filed 11 December 2002 and 60/502,253, filed 12 September 2003. Accordingly, this filing is made within the 30 month period following the earliest priority date.

This filing package consists of the following documents:

- This Cover Letter (2 pgs.)
- Transmittal Letter to the US/DO/EO (2 pgs.)
- Copy of Declaration as filed in PCT Request
- Assignment and Cover Sheet (3 pgs.)
- Credit Card Form (1 pg.)
- Certificate of Express Mailing (1 pg.)
- Return pre-paid postcard

Please direct any questions or correspondence to the undersigned attorney for the applicants.

Respectfully submitted,

William J. Kolegraff Reg. No. 41.125 3119 Turnberry Way Jamul, CA 91935

Phone: 619-401-8008 Fax: 619-401-0808

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

For a patent application filed under 35 U.S.C. 371 and entitled

SYSTEM AND METHOD FOR SPEECH PROCESSING USING INDEPENDENT COMPONENT ANALYSIS UNDER STABILITY RESTRAINTS

ER 621628132 US "Express Mail" Label number

June 9, 2005
Date of Deposit

I hereby certify that the below listed correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee service on the date indicated above and is address to:

> Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Correspondence in deposit:

- Cover Letter (2 pgs.)
- Transmittal Letter to the US/DO/EO (2 pgs.)
- Copy of Declaration as filed in PCT Request (1pg.)
- Assignment and Cover Sheet (3 pgs.)
- Credit Card Form (1 pg.)
- Certificate of Express Mailing (1 pg.)

- Return pre-paid postcard

Signature of person mailing correspondence

William J. Kolegraff

Typed or printed name of person mailing correspondence